

## Comparison of parameters estimated from $A/C_i$ and $A/C_c$ curve analysis

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### Abstract

The parameters estimated from traditional  $A/C_i$  curve analysis are dependent upon some underlying assumptions that substomatal  $\text{CO}_2$  concentration ( $C_i$ ) equals the chloroplast  $\text{CO}_2$  concentration ( $C_c$ ) and the  $C_i$  value at which the  $A/C_i$  curve switches between Rubisco- and electron transport-limited portions of the curve ( $C_i$ -t) is set to a constant. However, the assumptions reduced the accuracy of parameter estimation significantly without taking the influence of  $C_i$ -t value and mesophyll conductance ( $g_m$ ) on parameters into account. Based on the analysis of *Larix gmelinii*'s  $A/C_i$  curves, it showed the  $C_i$ -t value varied significantly, ranging from 24 Pa to 72 Pa and averaging 38 Pa. *t*-test demonstrated there were significant differences in parameters respectively estimated from  $A/C_i$  and  $A/C_c$  curve analysis ( $p < 0.01$ ). Compared with the maximum ribulose-1,5-bisphosphate carboxylase/oxygenase (Rubisco) carboxylation rate ( $V_{\text{cmax}}$ ), the maximum electron transport rate ( $J_{\text{max}}$ ) and  $J_{\text{max}}/V_{\text{cmax}}$  estimated from  $A/C_c$  curve analysis which considers the effects of  $g_m$  limit and simultaneously fits parameters with the whole  $A/C_c$  curve, mean  $V_{\text{cmax}}$  estimated from  $A/C_i$  curve analysis ( $V_{\text{cmax}}-C_i$ ) was underestimated by 37.49%; mean  $J_{\text{max}}$  estimated from  $A/C_i$  curve analysis ( $J_{\text{max}}-C_i$ ) was overestimated by 17.8% and  $(J_{\text{max}}-C_i)/(V_{\text{cmax}}-C_i)$  was overestimated by 24.2%. However, there was a significant linear relationship between  $V_{\text{cmax}}$  estimated from  $A/C_i$  curve analysis and  $V_{\text{cmax}}$  estimated from  $A/C_c$  curve analysis, so was it  $J_{\text{max}}$  ( $p < 0.05$ ).

*Additional key words:*  $A/C_i$  curve analysis;  $A/C_c$  curve analysis; mesophyll conductance; parameter estimation; photosynthesis.

### Introduction

Since the middle of the 18<sup>th</sup> century, fossil fuel combustion, land use, and other human activities forced steady increase of greenhouse gas in atmosphere, especially the increase of  $\text{CO}_2$  that leads to greenhouse effect (Keeling *et al.* 1989, Waston *et al.* 1990), which affects earth's energy balance, climate change, precipitation pattern and global climate change (Mitchell *et al.*

1990, Schlesinger *et al.* 1985), and consequently the terrestrial ecosystems (Bazzaz 1990, Larcher 1980, Melillo *et al.* 1993, Woodward *et al.* 1987).

Long (1991) pointed that predicting the responses of leaf photosynthesis to environmental factors was fundamental to projecting the impact of global change on the biosphere. Farquhar–von Caemmerer–Berry model of

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**Abbreviations:**  $A$  – photosynthesis rate;  $A_c$  – Rubisco-limited rates of carboxylation;  $A_j$  – electron transport-limited rates of carboxylation;  $A/C_c$  curve analysis – net assimilation rate of  $\text{CO}_2$ -chloroplast  $\text{CO}_2$  concentration;  $A/C_i$  curve analysis – net assimilation rate of  $\text{CO}_2$ -intercellular  $\text{CO}_2$  concentration;  $c$  – scaling constant;  $C_c$  – chloroplast  $\text{CO}_2$  concentration;  $C_c$ -t – chloroplast  $\text{CO}_2$  concentration transitional point;  $C_i$  – substomatal  $\text{CO}_2$  concentration;  $C_i$ -t – intercellular  $\text{CO}_2$  concentration transitional point; FvCB model – Farquhar–von–Caemmerer–Berry model of photosynthesis;  $g_m$  – mesophyll conductance;  $J$  – electron transport rate;  $J_{\text{max}}$  – maximum electron transport rate;  $J_{\text{max}}-C_c$  –  $J_{\text{max}}$  estimated from  $A/C_c$  curve analysis;  $J_{\text{max}}-C_i$  –  $J_{\text{max}}$  estimated from  $A/C_i$  curve analysis;  $K_c$  – the Michaelis-Menten constants of Rubisco activity for  $\text{CO}_2$ ;  $K_o$  – the Michaelis-Menten constants of Rubisco activity for  $\text{O}_2$ ;  $O$  – the  $\text{O}_2$  partial pressure in intercellular spaces; PPFD – photosynthetic photon flux density;  $R$  – gas constant;  $R_D$  – dark respiration;  $V_c$  – the rate of carboxylation of Rubisco;  $V_{\text{cmax}}$  – maximum ribulose-1,5-bisphosphate carboxylase/oxygenase (Rubisco) carboxylation rate;  $V_{\text{cmax}}-C_c$  –  $V_{\text{cmax}}$  estimated from  $A/C_c$  curve analysis;  $V_{\text{cmax}}-C_i$  –  $V_{\text{cmax}}$  estimated from  $A/C_i$  curve analysis;  $\Gamma^*$  – the  $\text{CO}_2$  compensation point in the absence of  $R_D$ ;  $\Delta H_a$  – enthalpy of activation;  $\Delta H_d$  – enthalpy of deactivation;  $\Delta S$  – entropy.

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photosynthesis (FvCB model) (Farquhar *et al.* 1980, Harley and Sharkey 1991, Harley *et al.* 1992ab, Sharkey 1985) due to its mechanism and generalization has been applied extensively to simulate plant photosynthesis and productivity which is a key step in calculating the carbon gains at canopy (Amthor 1995, De Pury and Farquhar 1997, Lloyd and Farquhar 1996, Wang and Jarvis 1990), ecosystem (Field and Avissar 1998), landscape (Pitman 2003, Sellers *et al.* 1996, 1997) and even global levels. The FvCB model requires several critical parameters, such as  $V_{\text{cmax}}$ ,  $J_{\text{max}}$ , leaf dark respiration ( $R_{\text{D}}$ ), and mesophyll conductance ( $g_{\text{m}}$ ). Therefore, these major parameters are central to the prediction of plant photosynthesis capacity with the Farquhar's photosynthesis model. At present, these parameters can be calculated from the net assimilation rate of  $\text{CO}_2$ -chloroplast  $\text{CO}_2$  concentration ( $A/C_c$ ) or net assimilation rate of  $\text{CO}_2$ -intercellular  $\text{CO}_2$  concentration ( $A/C_i$ ) curve analysis.

There are two prior assumptions in parameter estimation of  $A/C_i$  curve analysis. A first one is that intercellular  $\text{CO}_2$  partial pressure ( $C_i$ ) equals approximately to  $\text{CO}_2$  partial pressure at the site of carboxylation ( $C_c$ ). However, intercellular  $\text{CO}_2$  must diffuse within cell through chloroplast membrane to the site of carboxylation (Aalto and Juurola 2002, Gastra 1959). Consequently,  $C_c$  would be lower than  $C_i$  (Harley *et al.* 1992a, Loreto *et al.* 1992, Niinemets *et al.* 2005, von Caemmerer 2000). Therefore, it is reasonable and important to couple the mesophyll conductance ( $g_{\text{m}}$ ) limit into  $A/C_i$  curve analysis (Evans and Loreto 2000, Monti *et al.* 2006), especially when it comes to estimate the photosynthetic parameters. In a second assumption, parameters estimated from traditional  $A/C_i$  curve analysis or  $A/C_i$  model necessitate a prior  $C_i$  value at which the  $A/C_i$  curve switches between Rubisco- and electron

transport-limited portions of the curve ( $C_i$ -t) and common values of  $C_i$ -t used for analysis range from 20 to 25 Pa (Harley *et al.* 1992a, Wullschlegel 1993). However, some studies have pointed that  $C_i$ -t ranges greatly among plant species (Manter and Kerrigan 2004, Dubois *et al.* 2007). Consequently these parameters were estimated from  $A/C_i$  curve analysis with ignoring  $g_{\text{m}}$  limit and setting  $C_i$ -t a constant value (Bunce 2000, Leuning 2002, Medlyn *et al.* 2002, Wohlfahrt *et al.* 1999, Wullschlegel 1993 *etc.*). Inevitably the assumptions of  $A/C_i$  curve analysis influenced the accuracy of parameter estimation.

$A/C_c$  curve analysis is developed from  $A/C_i$  model through overwhelming the above two assumptions. Many studies have reported the effects of  $g_{\text{m}}$  or  $C_i$ -t on parameter estimation and developed new  $A/C_c$  methods (Ethier and Livingston 2004, Manter and Kerrigan 2004, Dubois *et al.* 2007, Miao *et al.* 2009 *etc.*). Ethier and Livingston (2004) and Manter and Kerrigan (2004) compared  $V_{\text{cmax}}$  from traditional  $A/C_i$  method and  $A/C_c$  method fitting with segmented  $A/C_c$  curve. Miao *et al.* (2009) point different  $A/C_c$  fitting methods can lead to the difference in parameter values and recommend to simultaneously fit parameters with the whole  $A/C_c$  curve. At present, relative few studies have quantified the relationship of parameters ( $V_{\text{cmax}}$ ,  $J_{\text{max}}$ , and  $V_{\text{cmax}}/J_{\text{max}}$ ) estimated from  $A/C_i$  and  $A/C_c$  curve analysis recommended by Miao *et al.* (2009). Therefore, based on the  $A/C_i$  curve data of *L. gmelinii* in northeast of China, the purpose of this study was to determine the effects of  $C_i$ -t and quantify the relationship between the parameters estimated from traditional  $A/C_i$  curve and  $A/C_c$  curve analysis directly fitting with the whole  $A/C_c$  curve, in order to provide accurate parameters for simulating the productivity and carbon gain of terrestrial ecosystems.

## Materials and methods

**Experimental site and materials:** The experiment was executed at the boreal forest ecosystem research station in Hu Zhong nature preservation Region (122°42' – 123°18' E, 51°17' – 51°56' N), Heilongjiang province, China. The experiment region had an average elevation of 812 m, a mean monthly temperature of  $15.95 \pm 4.0^\circ\text{C}$  from May to August, a mean annual precipitation of 511 mm and 85 growth days, featuring typical geographical and climate conditions.

*L. gmelinii* (Rupr.), 6 m high and 8 years old on average, was used in this experiment. The 30 selected trees lived with fertile soil and enough soil water since it often rained during the growing season.

**Leaf gas exchange:** Photosynthetic measurements of *L. gmelinii* leaves were taken on the newly formed mature sun leaves with a portable photosynthesis measurement system (Li-6400, LI-COR, Inc. Lincoln,

NE, USA) between 08:30 and 12:00 h (local time), from May to August in 2007. 20 light-response curves were used to get the saturated light intensity. The light-response curves were measured at 1,450; 1,300; 1,150; 1,050; 900; 750; 600; 450; 350; 200; 100; 50; 0; 50, and  $100 \mu\text{mol m}^{-2} \text{s}^{-1}$  photosynthetic photon flux densities (PPFD), with  $380 \mu\text{mol mol}^{-1}(\text{CO}_2)$  concentration, the leaf temperature of  $25^\circ\text{C}$ , and the relative humidity of 70%. In addition, 30  $A/C_i$  curves were measured at 380, 250, 150, 50, 0, 50, 150, 300, 450, 600, 750, 900, and  $1,050 \mu\text{mol mol}^{-1}(\text{CO}_2)$  concentrations, with the PPFD of  $1,100 \mu\text{mol m}^{-2} \text{s}^{-1}$ , the leaf temperature of  $25^\circ\text{C}$ , and the relative humidity of 70%.

**Model description:** FvCB model of  $C_3$  plant ( $A/C_i$  curve analysis) can be described as (Farquhar *et al.* 1980, Harley and Sharkey 1991, Harley *et al.* 1992ab, Sharkey 1985):

$$A = V_c \left(1 - \frac{\Gamma^*}{C_i}\right) - R_D \quad (1)$$

where  $A$  is the photosynthetic rate;  $V_c$  is the rate of carboxylation of Rubisco [ $\mu\text{mol m}^{-2} \text{s}^{-1}$ ], equal to  $\min\{A_c, A_j\}$ ;  $A_c$  and  $A_j$  are Rubisco- and electron transport-limited rates of carboxylation, respectively;  $\Gamma^*$  is the  $\text{CO}_2$  compensation point in the absence of  $R_D$ .

Rubisco-limited photosynthesis is expressed as:

$$A_c = \frac{V_{\text{cmax}} C_i}{C_i + K_c \left(1 + \frac{O}{K_o}\right)} \quad (2)$$

where  $O$  is the  $\text{O}_2$  partial pressure in intercellular spaces [Pa];  $K_c$  and  $K_o$  are the Michaelis-Menten constants of Rubisco activity for  $\text{CO}_2$  and  $\text{O}_2$ , respectively.

The rate of photosynthesis limited by RuBP regeneration is expressed as:

$$A_j = \frac{J C_i}{4(C_i + 2\Gamma^*)} \quad (3)$$

where  $J$  is the rate of electron transport [ $\mu\text{mol electron m}^{-2} \text{s}^{-1}$ ] and can be described as (Farquhar *et al.* 1980, Harley *et al.* 1992b):

$$J = \frac{\alpha I}{\sqrt{1 + \frac{\alpha^2 I^2}{J_{\text{max}}^2}}} \quad (4)$$

where  $J_{\text{max}}$  is the maximum rate of electron transport;  $I$  is the incident irradiance;  $\alpha$  is the quantum yield of electron transport [ $\text{mol electrons mol}^{-1} \text{photon}$ ] (Harley *et al.* 1992a).

Here, the parameter estimation of  $A/C_c$  curve method took  $g_m$  limit into account and simultaneously fitted with the whole  $A/C_c$  curve. When photosynthetic rate is Rubisco-limited, the response of  $A$  to  $\text{CO}_2$  concentration can be described by the following equation:

$$A = \frac{V_{\text{cmax}} (C_c - \Gamma^*)}{C_c + K_c \left(1 + \frac{O}{K_o}\right)} - R_D \quad (5)$$

where  $C_c$  is the  $\text{CO}_2$  partial pressure at Rubisco.

When photosynthetic rate is limited by RuBP regeneration,  $A$  can be expressed as:

$$A = \frac{J(C_c - \Gamma^*)}{4(C_c + 2\Gamma^*)} - R_D \quad (6)$$

where  $J$  is also calculated by Eq. 4.

$C_c$  is related to  $C_i$ ,  $A$ , and  $g_m$ .  $A/C_i$  curve data can be used to calculate  $g_m$  [ $\mu\text{mol m}^{-2} \text{s}^{-1} \text{Pa}^{-1}$ ] through Eq. 5, 6 and the following equation:

$$C_c = C_i - \frac{A}{g_m} \quad (7)$$

The temperature response of parameters was exponential. The equations can be expressed as (Harley *et al.* 1992b):

$$\text{Parameter} = e^{\left(\frac{c - \Delta H_a}{R \cdot T}\right)} \quad (8)$$

or

$$\text{Parameter} = \frac{e^{\left(\frac{c - \Delta H_a}{R \cdot T}\right)}}{1 + e^{\left(\frac{\Delta S \cdot T - \Delta H_d}{R \cdot T}\right)}} \quad (9)$$

where  $c$  is a scaling constant,  $\Delta H_a$  is enthalpy of activation [ $\text{KJ mol}^{-1}$ ],  $\Delta H_d$  is enthalpy of deactivation [ $\text{KJ mol}^{-1}$ ],  $\Delta S$  is entropy, and  $R$  is gas constant [ $8.314 \text{ J mol}^{-1} \text{K}^{-1}$ ].

The constants used in  $A/C_i$  curve analysis,  $A/C_c$  curve analysis, and the temperature functions are in Table 1. Values of the parameters are taken from Bernacchi *et al.* (2001, 2002, 2003).

$C_c$ -t or  $C_i$ -t can be obtained as follows by solving [ $A_c = A_j$ ]:

$$C_c\text{-t} = \frac{K_c J_{\text{max}} (K_o + O) - 8 K_o \Gamma^* V_{\text{cmax}}}{K_o (4 V_{\text{cmax}} - J_{\text{max}})} \quad (10)$$

Table 1. The constants used in  $A/C_i$  curve analysis,  $A/C_c$  curve analysis, and the temperature functions.  $\Delta H_a$  – enthalpy of activation;  $\Delta H_d$  – enthalpy of deactivation;  $\Delta S$  – entropy.

Parameters	25°C	$c$	$\Delta H_a$ [ $\text{kJ mol}^{-1}$ ]	$\Delta H_d$ [ $\text{kJ mol}^{-1}$ ]	$\Delta S$ [ $\text{kJ mol}^{-1} \text{K}^{-1}$ ]
Used for fitting					
$K_c$ [Pa]	27.24	35.98	80.99		
$K_o$ [kPa]	16.58	12.38	23.72		
$\Gamma^*$ [Pa]	3.74	11.19	24.46		
Used for normalizing					
$V_{\text{cmax}}$	1	26.36	65.33		
$J_{\text{max}}$	1	17.71	43.9		
$g_m$	1	20.01	49.6	437.4	1.4

**Model-fitting techniques and statistical analysis:** Miao *et al.* (2009)'s SAS programs (grid search plus nonlinear, two-stage least square regression technique) (SAS Institute Inc. 9.1, Cary, NC, USA) were used to fit  $A/C_i$  curves and  $A/C_c$  curves. The optimum of  $V_{cmax}$ ,  $J_{max}$ ,  $R_D$ , and  $g_m$  were obtained based on the minimum of the root mean square error (RMSE) of each curve. In traditional  $A/C_i$  curve analysis,  $V_{cmax}$  and  $R_D$  were calculated through a portion of the  $A/C_i$  data where  $C_i$  is below a transition point (25 Pa), and then the whole  $A/C_i$  data were used to determine  $J_{max}$  by holding  $V_{cmax}$  and  $R_D$  values as constants (Harley *et al.* 1992a, Wullschlegel 1993, Curtis *et al.* 1995).  $C_i$ -t was obtained by equation 10 with  $V_{cmax}$  and  $J_{max}$  that both

were fitted simultaneously using all data of an  $A/C_i$  curve. In  $A/C_c$  curve method,  $V_{cmax}$ ,  $g_m$ , and  $R_D$  were initially calculated using a variable transition point ( $C_c$ -t) where the regression mean square statistic was lowest, and then the whole  $A/C_c$  data were used to determine  $J_{max}$  by holding  $V_{cmax}$ ,  $g_m$ , and  $R_D$  values as constants, finally refit all the four parameters simultaneously using the whole  $A/C_c$  data and inputting the initial  $V_{cmax}$ ,  $J_{max}$ ,  $g_m$ , and  $R_D$  values. It is important to point that  $C_c$ -t can be calculated through equation 10 by inputting  $V_{cmax}$ ,  $J_{max}$ ,  $g_m$ , and  $R_D$  which were estimated from  $A/C_c$  curve method, but these parameter estimations were independent of  $C_c$ -t or  $C_i$ -t.

## Results

**Effects of  $C_i$ -t on the parameters estimated from  $A/C_i$  curve analysis:** Based on the equation 10, the  $C_i$ -t values for *L. gmelinii* were calculated and variable, ranging from 24 to 72 Pa, and its average value was 38 Pa. Furthermore,  $C_i$ -t value had important influence on parameter estimation of  $A/C_i$  curve analysis with segmented fitting method. As illustrated in Fig. 1,  $V_{cmax}$  estimated from  $A/C_i$

curve analysis was  $11.3 \mu\text{mol m}^{-2} \text{s}^{-1}$  and  $J_{max}$  was  $30.4 \mu\text{mol m}^{-2} \text{s}^{-1}$  when  $C_i$ -t was set 25 Pa (Fig. 1A); but  $V_{cmax}$  was  $15.6 \mu\text{mol m}^{-2} \text{s}^{-1}$  and  $J_{max}$  was  $40.7 \mu\text{mol m}^{-2} \text{s}^{-1}$  when  $C_i$ -t was set 72 Pa (Fig. 1B). Therefore,  $C_i$ -t would directly affect the parameter estimation from  $A/C_i$  curve analysis if  $C_i$ -t value was set too high or low.

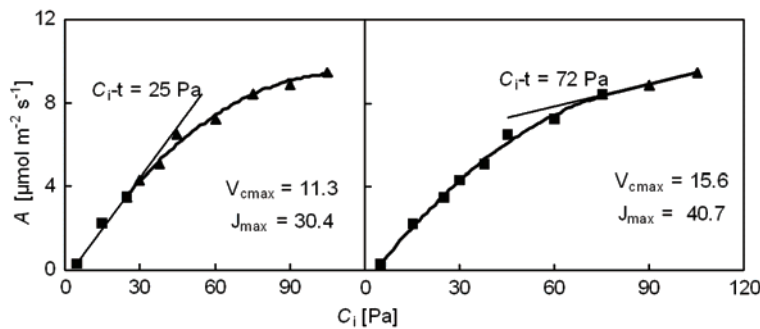


Fig. 1. Parameter estimation of  $A/C_i$  curve analysis when  $C_i$  transition point ( $C_i$ -t) is held as a constant (25 Pa) (Fig. 1A) and set maximum (72 Pa) (Fig. 1B), respectively. Filled squares represent the Rubisco-limited photosynthetic rate and filled triangles illustrate the electron transport-limited photosynthetic rate.

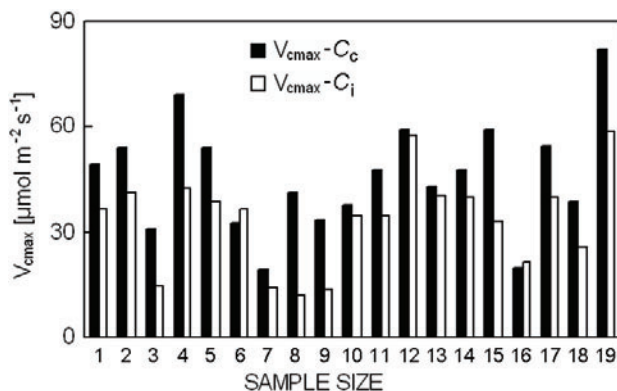


Fig. 2. Comparison between  $V_{cmax}-C_c$  and  $V_{cmax}-C_i$ . Black histograms represent  $V_{cmax}$  estimated from  $A/C_c$  curve analysis ( $V_{cmax}-C_c$ ) and white histograms indicate  $V_{cmax}$  estimated from  $A/C_i$  curve analysis ( $V_{cmax}-C_i$ ). *t*-test showed there were significant differences between  $V_{cmax}-C_c$  and  $V_{cmax}-C_i$  ( $n = 19$ ,  $p < 0.01$ ), and  $V_{cmax}-C_i$  were lower than  $V_{cmax}-C_c$  on the whole.

**Comparison of  $V_{cmax}$  based on  $A/C_i$  curve and  $A/C_c$  curve analysis:**  $V_{cmax}$  estimated from  $A/C_i$  curve analysis ( $V_{cmax}-C_i$ ) was based on the Rubisco-limited portion of  $A/C_i$  curve with a segmented fitting and ranged from 12 to  $57.6 \mu\text{mol m}^{-2} \text{s}^{-1}$ .  $V_{cmax}$  from  $A/C_c$  curve analysis ( $V_{cmax}-C_c$ ) ranged from  $19.46$  to  $81.90 \mu\text{mol m}^{-2} \text{s}^{-1}$  and  $g_m$  ranged from  $0.03$  to  $0.57 \text{ mol m}^{-2} \text{s}^{-1}$ . From visual inspection in Fig. 2, most of the  $V_{cmax}-C_i$  values were lower than  $V_{cmax}-C_c$  values except one. The result of paired-sample *t*-test showed there was a significant difference between  $V_{cmax}-C_c$  and  $V_{cmax}-C_i$  ( $p < 0.01$ ). Comparing  $V_{cmax}-C_c$  with  $V_{cmax}-C_i$ , we found that mean  $V_{cmax}-C_i$  value was a significantly lower than  $V_{cmax}-C_c$  value on average. The mean  $V_{cmax}-C_c$  value of *L. gmelinii* was  $45.95 \mu\text{mol m}^{-2} \text{s}^{-1}$  and  $V_{cmax}-C_i$  on average was  $33.42 \mu\text{mol m}^{-2} \text{s}^{-1}$ . Compared with  $V_{cmax}-C_c$ ,  $V_{cmax}-C_i$  was underestimated by 37.49% on average, ranging from  $-11.67\%$  to  $141.38\%$ . Moreover, there was a significant linear relationship between them ( $p < 0.05$ ). As shown in

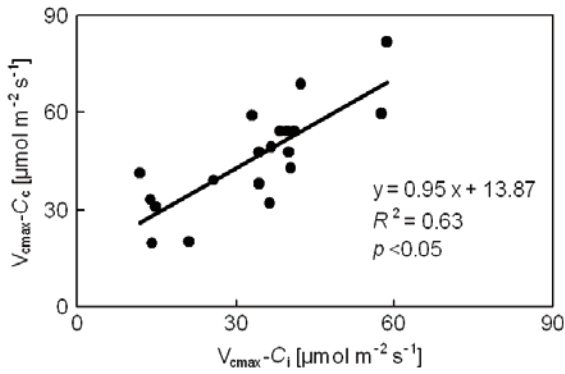


Fig. 3. Linear relationship between  $V_{cmax}-C_c$  and  $V_{cmax}-C_i$  ( $p < 0.05$ ).  $V_{cmax}-C_c$  is  $V_{cmax}$  estimated from  $A/C_c$  curve analysis and  $V_{cmax}-C_i$  is  $V_{cmax}$  estimated from  $A/C_i$  curve analysis.

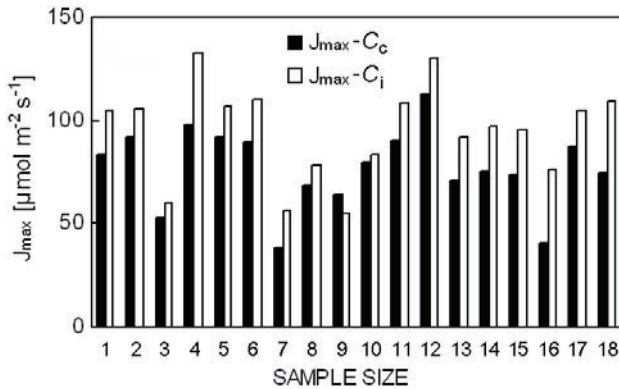


Fig. 4. Comparison between  $J_{max}-C_c$  and  $J_{max}-C_i$ . Black histograms represent  $J_{max}$  estimated from  $A/C_c$  curve analysis ( $J_{max}-C_c$ ) and white histograms indicate  $J_{max}$  estimated from  $A/C_i$  curve analysis ( $J_{max}-C_i$ ).  $t$ -test showed there were significant differences between  $J_{max}-C_c$  and  $J_{max}-C_i$  ( $n = 18$ ,  $p < 0.01$ ), and  $J_{max}-C_c$  were lower than  $J_{max}-C_i$  on the whole.

Fig. 3, the slope of the regression equation between  $V_{cmax}-C_i$  and  $V_{cmax}-C_c$  was 0.95 which was close to 1 and its intercept was 13.87. Namely,  $V_{cmax}-C_i$  value was about  $13.87 \mu\text{mol m}^{-2} \text{s}^{-1}$  lower than  $V_{cmax}-C_c$ .

**Comparison of  $J_{max}$  based on  $A/C_i$  curve and  $A/C_c$  curve analysis:**  $J_{max}$  estimated from  $A/C_i$  curve method ( $J_{max}-C_i$ ) was based on the whole  $A/C_i$  curve data by holding  $V_{cmax}$  and  $R_D$  values as constants and ranged from 54.9 to  $133 \mu\text{mol m}^{-2} \text{s}^{-1}$ .  $J_{max}$  estimated from  $A/C_c$  curve analysis ( $J_{max}-C_c$ ) ranged from 38.15 to  $112.46 \mu\text{mol m}^{-2} \text{s}^{-1}$  and  $g_m$  ranged from 0.03 to  $0.57 \text{ mol m}^{-2} \text{s}^{-1}$ . We found there was also a significant difference between  $J_{max}-C_c$  and  $J_{max}-C_i$  after paired-sample  $t$ -test ( $p < 0.01$ ). Comparing  $J_{max}-C_c$  with  $J_{max}-C_i$ , it showed that  $J_{max}-C_c$  values were lower than  $J_{max}-C_i$  values on the whole (Fig. 4). The average value of  $J_{max}-C_c$  was  $76.79 \mu\text{mol m}^{-2} \text{s}^{-1}$  and  $J_{max}-C_i$  on average was  $93.42 \mu\text{mol m}^{-2} \text{s}^{-1}$ . Compared with  $J_{max}-C_c$ ,  $J_{max}-C_i$  was overestimated by 17.8% on average, ranging from -17.48% to 46.42%.

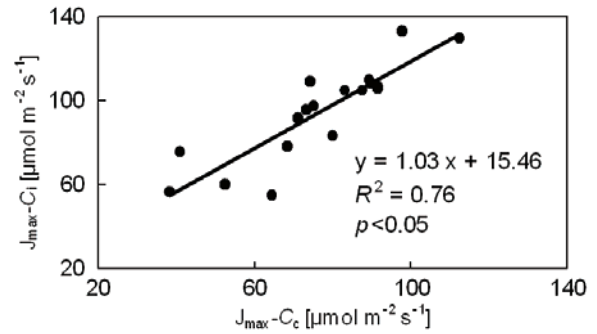


Fig. 5. Linear relationship between  $J_{max}-C_c$  and  $J_{max}-C_i$  ( $p < 0.05$ ).  $J_{max}-C_c$  is  $J_{max}$  estimated from  $A/C_c$  curve analysis and  $J_{max}-C_i$  is  $J_{max}$  estimated from  $A/C_i$  curve analysis.

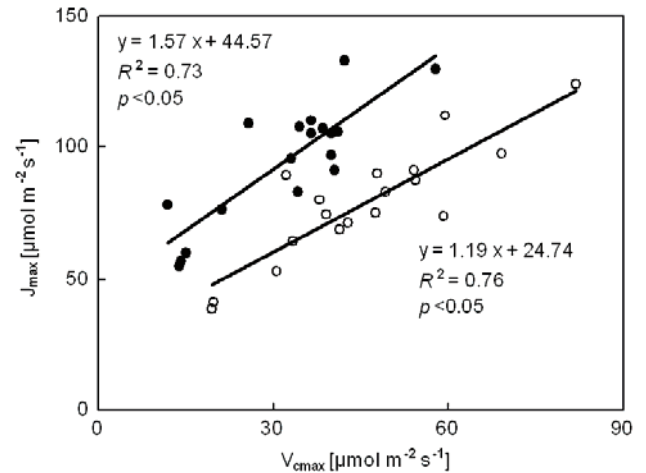


Fig. 6. Linear relationship between  $J_{max}$  and  $V_{cmax}$  ( $p < 0.05$ ). Filled circles represent linear relationship between  $J_{max}$  and  $V_{cmax}$  which were estimated from  $A/C_c$  curve analysis, and open circles represent linear relationship between  $J_{max}$  and  $V_{cmax}$  which were estimated from  $A/C_i$  curve analysis.

Furthermore, there was a significant linear relationship between them ( $p < 0.05$ ). As shown in Fig. 5, the slope of the regression equation between  $J_{max}-C_i$  and  $J_{max}-C_c$  was 1.03 which was close to 1 and its intercept was 15.46. It meant  $J_{max}-C_i$  value was almost  $15.46 \mu\text{mol m}^{-2} \text{s}^{-1}$  more than  $J_{max}-C_c$ .

**Comparison of  $J_{max}/V_{cmax}$  based on  $A/C_i$  curve and  $A/C_c$  curve analysis:** As it has been reported (Wullschlegel 1993), there was a consistent linear relationship between  $V_{cmax}$  and  $J_{max}$  (Fig. 6). Statistical result of  $t$ -test showed there was a significant difference between  $J_{max}/V_{cmax}$  based on  $A/C_i$  method and that based on  $A/C_c$  method ( $p < 0.01$ ). The slope of  $J_{max}/V_{cmax}$  based on  $A/C_i$  method was 1.57 and its intercept was  $44.57 \mu\text{mol m}^{-2} \text{s}^{-1}$ . However, the slope of  $J_{max}/V_{cmax}$  based on  $A/C_c$  method was 1.19 and its intercept was  $24.74 \mu\text{mol m}^{-2} \text{s}^{-1}$ . Obviously, the slope and intercept of  $J_{max}/V_{cmax}$  based on  $A/C_i$  method were overestimated and the slope was overestimated by 24.2%.

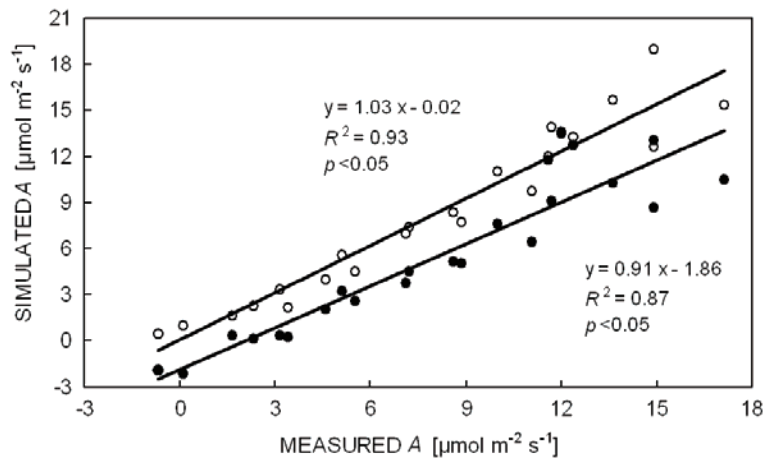


Fig. 7. Comparison between the simulated and the measured photosynthetic rates. *Filled circles* represent the relationship between the measured photosynthesis rates and the simulated values from  $A/C_i$  curve analysis ( $n = 23$ ,  $p < 0.05$ ). *Open circles* indicate the relationship between the measured photosynthetic and the simulated values from  $A/C_c$  curve analysis ( $n = 23$ ,  $p < 0.05$ ).

**Comparison between the measured and simulated photosynthetic rates:** Five  $A/C_i$  curves were repeatedly measured on the same tree. Three of the five curves were used to estimate parameters based on  $A/C_i$  and  $A/C_c$  methods, and then these parameters and the remaining curves were used to compare the predictive capabilities of  $A/C_i$  and  $A/C_c$  methods. Through comparing the measured photosynthetic rates of the two remaining  $A/C_i$  curves with the simulated values from  $A/C_i$  curve method and  $A/C_c$  curve method, our result showed that the slope of the

regression equation between the simulated values from  $A/C_c$  curve method and the measured values was 1.03 which was close to 1, and the intercept was 0.02 which was close to zero ( $p < 0.05$ ; Fig. 7). Compared with the measured values, the simulated values from  $A/C_i$  curve method were a little lower, although  $A/C_i$  curve method could also simulate the photosynthetic rates well. Therefore, the simulated values from  $A/C_c$  curve method were closer to the measured values.

## Discussion

In traditional  $A/C_i$  curve analysis,  $V_{cmax}$  and  $R_D$  were calculated through a portion of the  $A/C_i$  data where  $C_i$  is below a transition point ( $C_{i-t}$ ), and then the whole  $A/C_i$  data were used to determine  $J_{max}$  by holding initial  $V_{cmax}$  and  $R_D$  values as constants (Harley *et al.* 1992a, Wullschlegel 1993, Curtis *et al.* 1995). In general,  $C_{i-t}$  values used for analysis range from 20 to 25 Pa (Harley *et al.* 1992a, Wullschlegel 1993). However, our analysis of *L. gmelinii*'s  $A/C_i$  curves showed that  $C_{i-t}$  value ranged from 24 to 72 Pa, averaging 38 Pa which was found lower than Manter and Kerrigan's mean value (71 Pa) after one-sample *t*-test ( $p < 0.01$ ). Furthermore, parameters estimated from  $A/C_i$  curve analysis when  $C_{i-t}$  was held as a constant (25 Pa) and set maximum (72 Pa) respectively were significantly different (Fig. 1).  $C_{i-t}$  value set too high or low could significantly influence the parameter estimation, which agrees with Manter and Kerrigan's conclusion (2004). From Eq. 10,  $C_{i-t}$  is a function of  $V_{cmax}$  and  $J_{max}$ , and plays an important role in parameter estimation. Hence it is unreasonable to use the segmented fitting or a constant  $C_{i-t}$  value in  $A/C_i$  or  $A/C_c$  curve analysis.

Based on  $A/C_i$  curve method and  $A/C_c$  curve method taking the effects of  $g_m$  limit and  $C_{i-t}$  on parameter estimation into account, our research quantified the relationship between parameters ( $V_{cmax}$ ,  $J_{max}$  and  $V_{cmax}/J_{max}$ ) respectively calculated from  $A/C_i$  curve method and  $A/C_c$  curve method. Our results showed that

$V_{cmax}$  from  $A/C_i$  curve analysis was underestimated by 37.49% on average than  $V_{cmax}$  from  $A/C_c$  curve analysis. However, the results from Manter and Kerrigan (2004) showed mean  $V_{cmax}$  calculated from  $A/C_i$  curve analysis was underestimated by 58.46%. The difference may be due to different  $g_m$  values and fitting methods of  $A/C_c$  curve. The  $A/C_c$  curve analysis used in their paper is a segmented fitting method, but here is direct and simultaneous fitting. Through the comparison of the  $A/C_c$  curve fitting methods, Miao *et al.* (2009) find different  $A/C_c$  fitting methods can lead to the significant difference in parameter values and recommend to simultaneously fit parameters with the whole  $A/C_c$  curve due to its fitting accuracy, simplicity of fitting procedures and sample size requirement. In addition, the materials used in Manter and Kerrigan's research were conifer seedlings potted in greenhouse, and our materials were high conifers in the field. Our results also found that  $J_{max}$  calculated from  $A/C_i$  curve analysis on average was overestimated by 17.8%. In  $A/C_i$  curve analysis,  $J_{max}$  were calculated under high  $CO_2$  concentration, and the effect of  $g_m$  limit on  $J_{max}$  was marginal (Ethier and Livingston 2004). Therefore, the difference between  $J_{max}$  values calculated from  $A/C_i$  curve analysis and those calculated from  $A/C_c$  method may be due to the initial values of  $V_{cmax}$  and  $R_D$  used in  $J_{max}$  estimation processes. On the other hand, the traditional  $A/C_i$  curve analysis can lead to severe underestimation of Rubisco activity such that the entire  $A/C_i$  response curve

can be apparently limited by Rubisco activity (Ethier and Livingston 2004). Consequently  $J_{\max}$  was estimated most by high photosynthetic rates of  $A/C_i$  curve, and then overestimated by  $A/C_i$  curve analysis according to Eq. 3.

$A/C_i$  curves data measured from May to August were used to estimate  $V_{\max}$  and  $J_{\max}$ , but this parameter values fluctuated greatly. There were two reasons at least. First, the different growth temperature can influence parameter values (such as  $J_{\max}$ ) (Bernacchi *et al.* 2003). We measured the  $A/C_i$  curves from May to August in 2007. The trees start to sprout in May when monthly mean temperature is near to 10°C, but August is the hottest month in the growth season of a year. Second, variable  $g_m$  can significantly influence parameter values.  $g_m$  can be influenced significantly by the total tree height, leaf N content, and leaf age (Niinemets *et al.* 2009). Here  $g_m$  values ranged greatly from 0.57 to 0.03 mol m<sup>-2</sup> s<sup>-1</sup>. Consequently the values of  $V_{\max}$  and  $J_{\max}$  fluctuated due to the variable  $g_m$  and environmental factors. Surprisingly, our analysis showed that there was a significant linear relationship between  $V_{\max}$  calculated from  $A/C_i$  curve analysis and  $V_{\max}$  calculated from  $A/C_c$  curve analysis, so was it  $J_{\max}$  ( $p < 0.05$ ). It implied that these parameters from two different methods had the same responses to environmental factors. Obviously,  $g_m$  and  $C_i$ -t significantly influence the estimation of  $V_{\max}$  and  $J_{\max}$ , thus the correlations are closely related to  $g_m$  and  $C_i$ -t. Further studies are needed to find out if there is a co-adjustment between  $g_m$  and  $C_i$ -t to the above correlations.

The ratio of  $J_{\max}/V_{\max}$  is a key parameter that coordinates between Rubisco- and electron transport-limited photosynthetic processes, and has been comprehensively used in modelling seasonal dynamics in the photosynthesis of canopy. Through the analysis across 109 species, Wullschlegel (1993) found a significant linear relationship occurred between  $V_{\max}$  and  $J_{\max}$ . It agreed with our results well. Our research also showed that the slope of  $J_{\max}/V_{\max}$  based on  $A/C_i$  curve analysis was 1.57, which equaled Centritto's result (2003) and close to 1.64 of Wullschlegel's result (1993). However,

the slope of  $J_{\max}/V_{\max}$  based on  $A/C_c$  curve analysis was 1.19. Consequently  $J_{\max}/V_{\max}$  based on  $A/C_i$  curve analysis was overestimated by 24.2% due to the underestimation of  $V_{\max}$  and overestimation of  $J_{\max}$ . It is important to note that many ecosystem models, such as DOLY (Woodward *et al.* 1995) and Biome-BGC (Thornton *et al.* 2002), set the slope of  $J_{\max}/V_{\max}$  to 1.64 according to Wullschlegel's results (1993). Potential bias or errors of  $J_{\max}/V_{\max}$  may be exacerbated when the variable is scaled up from a single leaf to a canopy level, even to an ecosystem level. Therefore, those photosynthesis models of canopy and ecosystem models which are using the ratio of  $J_{\max}/V_{\max}$  should consider this point.

$A/C_i$  method can not accurately estimate not only parameters but also photosynthetic rates. Due to inaccurate estimation of parameters and ignoring the effect of  $g_m$ ,  $A/C_i$  method lead to comparatively poor simulations of photosynthetic rates in the field. It has been argued that even though  $A/C_i$  curve analysis is logically biased since it ignores  $g_m$ , it remains useful for simulation of photosynthesis. The present modelling study clearly showed that this pragmatic view was flawed insofar as  $A/C_i$  method is not as good as  $A/C_c$  method in simulating photosynthesis.

Since FvCB model has been incorporated into carbon exchange models, accurate parameter estimation is getting more and more meaningful. However, many present parameter estimation and model parameterizations are unreasonable because of ignoring the effects of  $g_m$  limit and  $C_i$ -t on parameter estimation. Though the empirical parameterizations based on FvCB model remain useful to predict photosynthesis, they may mislead us when it comes to evaluate the variability of parameters among  $C_3$  plant species or to interpret the fundamental physiological processes underlying the measured photosynthetic responses of plants to various environmental conditions or through time (Ethier and Livingston 2004). Considering the importance of parameters in global carbon budget modelling, it is necessary and urgent to improve the accuracy of the parameter estimation.

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